

Case Docket No. ALAMM.001A Date: March 6, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Michael T. Aarons

Appl. No.

09/836,729

Filed

April 17, 2001

For

FINANCIAL TRANSACTION

CARD

Examiner

Unknown

Group Art Unit:

2152

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

March 6, 2002

(Date)

Arthur S. Rose, Reg. No. 28,038

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Technology Center 2100

United States Patent and Trademark Office P.O. Box 2327

Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) Supplemental Information Disclosure Statement.
- A PTO Form 1449 with two (2) references, and a copy of the International Search Report. (X)
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.

Arthur S. Rose

Registration No. 28,038

Attorney of Record

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ALAMM.001A PATENT

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	App. No.	:	09/836,729))
	Filed	:	April 17, 2001))
	For	:	FINANCIAL TRANSACTION CARD	RECEIVED MAR 1 8 2002
	Examiner	:	Unknown	Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing two (2) references that are also enclosed, and a copy of the International Search Report. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

Appl. No. Filed

09/836,729

: April 17, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 316102

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FORM	PTO-1449
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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APPLICATION NO. 09/836,729

INFORMATION DISCLOSURE STATEMENT **BY APPLICANT**

APPLICANT Michael T. Aarons

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FILING DATE April 17, 2001 GROUP 2152

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EXAMINER EXAMINER	ELES /	•		U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
		6,105,137	08/15/00	Graunke et al.	713	201	
		6,188,659 B1	02/13/01	Mueller et al.	369	59	
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FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						·	YES	NO
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	International Search Report mailed February 8, 2002 in corresponding International Application No. PCT/US01/41573.						
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DATE CONSIDERED

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